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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/733,289	12/08/2000	Xiao-Chun Mu	42390P8875	2980

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EXAMINER

NGUYEN, DILINH P

ART UNIT	PAPER NUMBER
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2814

DATE MAILED: 07/01/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

AKC

Office Action Summary	Application No.	Applicant(s)	
	09/733,289	MU ET AL.	
	Examiner	Art Unit	
	DiLinh Nguyen	2814	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 May 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5 and 10-17 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5 and 10-17 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
 If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
 * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
 a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>4</u> | 6) <input type="checkbox"/> Other: |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

2. Claims 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Chen et al. (U.S. Pat. 6160311).

Chen et al. disclose a semiconductor device (cover fig.) comprising:

a heat sink 32 (column 3, lines 30-31);

at least one microelectronic die 31 having an active surface and a back surface, wherein at least one microelectronic die back surface adjacent to the heat sink; and

an encapsulation material 36 (column 3, lines 58-59) disposed on the heat sink and the microelectronic die active surface.

3. Claims 1-5 are rejected under 35 U.S.C. 102(e) as being anticipated by Eichelberger (U.S. Pat. 5250843).

Eichelberger discloses a semiconductor device (fig. 1, column 13, lines 51 et seq.) comprising:

a heat sink 12 ;

at least one microelectronic die 14 having an active surface and a back surface, wherein at least one microelectronic die back surface adjacent to the heat sink; and

an encapsulation material 18 disposed on the heat sink and the die active surface.

- Regarding claim 2, Eichelberger discloses a build up layer disposed on an upper surface of the encapsulant material.
- Regarding claim 3, Eichelberger discloses the build up layer comprises at least on conductive trace 20 disposed on the encapsulation material upper surface, wherein a portion of the conductive trace extending through the encapsulation material to contact the microelectronic die active surface.
- Regarding claim 4, Eichelberger discloses the build up layer further includes at least one dielectric layer 24 disposed on at least a portion of the encapsulation material upper surface and at least on conductive trace, and at least one second conductive trace 26 extending through the dielectric layer to contact the conductive trace.
- Regarding claim 5, Eichelberger discloses a thin die attach material 16 disposed between the die and the heat sink (column 13, lines 64-66).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shen (U.S. Pat. 6368894).

Shen discloses a semiconductor device (figs. 1-2, column 4, lines 5 et seq.) comprising:

a heat sink 33 (column 5, lines 27);

a package core 1 having a first surface 10 and an opposing second surface 14, the package core having at least one opening 11 (fig. 2) defined therein extending from the package core first surface to the package core second surface, where the package core second surface obviously abuts the heat sink;

at least one die 3 (column 5, lines 30) disposed within at least one package core opening and adjacent the heat sink, at least one die having an active surface; and

an encapsulation material 34 disposed on the die and in portions of at least one package core opening.

6. Claims 11-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shen (U.S. Pat. 6368894) in view of Eichelberger (U.S. Pat. 5250843).

Shen fails to disclose a build up layer disposed on an upper surface of the encapsulation material.

Eichelberger discloses a semiconductor device (fig. 1, column 13, lines 51 et seq.) comprising: a build up layer disposed on an upper surface of the encapsulation material 18 to provide interconnection pads (column 10, lines 54-57). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Shen to provide a direct interconnection between

integrated circuit chips of being highly reliable for package device, as shown by Eichelberger.

- Regarding claim 12, Eichelberger discloses the build up layer comprises at least on conductive trace 20 disposed on the encapsulation material upper surface, wherein a portion of the conductive trace extending through the encapsulation material to contact the microelectronic die active surface.
- Regarding claim 13, Eichelberger discloses the build up layer further includes at least one dielectric layer 24 disposed on at least a portion of the encapsulation material upper surface and at least on conductive trace, and at least one second conductive trace 26 extending through the dielectric layer to contact the conductive trace.
- Regarding claim 14, Shen discloses the encapsulation (34 and 24) covers the package core first surface.
- Regarding claim 15, it would have been obvious matter of design choice to form a thickness of the package core is greater than a thickness of the die.
- Regarding claim 16, Shen discloses the package core is a material selected from the group consisting of ceramics or metals (column 4, lines 5-10).
- Regarding claim 17, it would have been obvious that a thermally conductive adhesive layer disposed between at least on die 3 and the heat sink 33, as shown by Shen (fig. 1).


Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DiLinh Nguyen whose telephone number is (703) 305-6983. The examiner can normally be reached on 8:00AM - 6:00PM (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, OLIK CHAUDHURI can be reached on (703) 308-2794. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7722 for regular communications and (703) 308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

DLN
DLN
June 27, 2002


Douglas Wille
Patent Examiner